

		Agenda Item No:			
SUMMARY REPORT	Executive Safety Oversight Group				19 January 2021
Report Title:		PHSO and HSE Steering Group Closing Report			
Executive/Non-Executive Lead:		Nigel Leonard, Executive Director of Strategy and Transformation			
Report Author(s):		Gill Brice, Associate Director of Strategy and Contracting			
Report discussed previously at:		N/a			
Level of Assurance:		Level 1		Level 2	✓ Level 3

Purpose of the Report		
This report provides: <ul style="list-style-type: none"> Details of the outstanding actions from the PHSO and HSE Steering Group and recommendations for inclusion in the Executive Safety Oversight Group's Schedule of Business. 	Approval	✓
	Discussion	✓
	Information	

Recommendations/Action Required
The Executive Safety Oversight Group is asked to: <ol style="list-style-type: none"> Note the contents of the report Note the outstanding actions on the action log to be incorporate onto the Executive Safety Oversight Group's action log Approve the recommendations regarding monitoring reports for inclusion on the Group's Schedule of Business

Summary of Key Issues
<p>Members will recall that on receipt of the Parliamentary and Health Service Ombudsman (PHSO) report in the summer of 2019 the Trust Board agreed a steering group should be implemented to oversee delivery of the action plan.</p> <p>Initially it was envisaged that the Group would be in place for six months. Prior to the six month review the Group's remit was widen to include the Health and Safety Executive (HSE) investigation and subsequently it was agreed that the Group would be reviewed again in December 2020.</p> <p>In November 2020 the Executive Safety Oversight Group was formed it and it was agreed that this Group would oversee the remaining actions from the PHSO and HSE Steering Group.</p> <p>Appendix 1 is the action log for the PHSO and HSE Steering Group. Members will note that there are 3 outstanding actions to be transferred onto the Executive Safety Oversight Committee's action log.</p> <p>Appendix 2 provides the PHSO and HSE Steering Group's Terms of Reference. Those matters which are proposed to be picked up by the Executive Safety Oversight Committee are highlighted in yellow for ease.</p> <p>Appendix 3 provides the PHSO Findings Action plan which has been updated. Members will note that there are two actions outstanding, one of which requires an extension.</p>

The Compliance Team undertook testing on some of the measures in September 2020. It was agreed that operational services would consider how they can test the effectiveness of the remaining actions. This is noted on the action log, and has been extended due to operational pressures. The Compliance Team will be completing the next round of testing shortly, the results of which will be presented in February 2021.

Appendix 4 provides the HSE action plan. This action plan was compiled post the Trust submitting mitigation to the HSE to ensure that all outstanding actions detailed have been completed. Members will note that only one action remains outstanding.

Appendix 5 provides the Public Administration and Constitutional Affairs Committee (PACAC) assurance statement. An assurance statement was implemented rather than an action plan post the PACAC in November 2019 to avoid duplication as the actions were being taken forward in other Groups/Sub Committees. An update is provided on a quarterly basis, the next one is due in February 2021.

The following recommendations are made to be added to the Schedule of Business:

1. The PHSO Findings Action Plan continues to be presented until all actions are completed. Thereafter the Perfect Ward reports detailed in the PHSO action plan on Record Keeping, Matron's Assurance and the Ward Manager's audit are provided to the Group on a monthly basis.
2. Compliance testing on the applicable actions from the PHSO Findings Action Plan continues on a quarterly basis in 2021.
3. The Group continues to receive the PACAC assurance statement on a quarterly basis.
4. The HSE action plan is closed and progress updates regarding the dormitory programme are provided by the Capital Projects Group.

Relationship to Trust Strategic Objectives

SO 1: Continuously improve service user experiences and outcomes	✓
SO 2: Achieve top 25% performance	✓
SO 3: Valued system leader focused on integrated solutions	

Which of the Trust Values are Being Delivered

1: Open	✓
2: Compassionate	✓
3: Empowering	✓

Relationship to the Board Assurance Framework (BAF)

Are any existing risks in the BAF affected?	Yes
If yes, insert relevant risk	BAF57
Do you recommend a new entry to the BAF is made as a result of this report?	No

Corporate Impact Assessment or Board Statements for Trust: Assurance(s) against:

Impact on CQC Regulation Standards, Commissioning Contracts, new Trust Annual Plan & Objectives	✓
Data quality issues	
Involvement of Service Users/Healthwatch	
Communication and consultation with stakeholders required	
Service impact/health improvement gains	✓
Financial implications	
Governance implications	✓
Impact on patient safety/quality	✓
Impact on equality and diversity	

Equality Impact Assessment (EIA) Completed?	YES/NO	If YES, EIA Score	No
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Acronyms/Terms Used in the Report			
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PHSO	Parliamentary and Health Service Ombudsman	HSE	Health and Safety Executive
PACAC	Public Administration and Constitutional Affairs Committee		

Supporting Documents and/or Further Reading

Lead

<p>Nigel Leonard Executive Director of Strategy and Transformation</p>
