

R9 Reference	Date Issued to EPUT by the Inquiry	Original Deadline set by the Inquiry	Time Allowed (Working days excluding bank holidays and weekends)	Scope Burden	Statement and exhibits disclosed to Inquiry	Used at oral hearings
R9(2) and (5)– Time To Care	25 June 2024	2 Aug 2024	29 days	28 (5 main witness statement questions with 19 sub-questions)	49 page draft statement dated 2 August 2024 with 34 exhibits and a completed Annex	
R9(5a) – Time To Care	18 Sep 2024	18 Oct 2024	21 days	Request for documents	Additional 22 exhibits provided on 18 Oct 2024	
R9(5b) – Time To Care	7 Jan 2025	31 Jan 2025	18 days	39 main questions re clarification or further information required	40 page draft statement submitted on 31 Jan 2025 with 65 exhibits	
R9(3c) – Oxevision, BWC and CCTV	14 March 2025	21 March 2025	5 days	5 additional questions	29 page statement dated 21 March 2025 with 111 exhibits, 16 page supplemental statement dated 9 May 2025 with 8 exhibits	Yes
R9(3d) – Oxevision, BWC and CCTV	18 Aug 2025	1 Sep 2025	10 days	9 questions with 13 sub questions	11 page statement dated 2 Sep 2025 with 9 exhibits	Yes
R9(4) – Operation Shildon	31 July 2024	28 Aug 2024	20 days	177 (19 numbered questions + 1 unnumbered Sexual Safety Governance request + extensive sub-questions and sub-sub-	47 page draft statement dated 11 Oct 2024 with draft exhibits	

				questions at multiple levels — Q1 alone comprises 49 parts)		
R9(6) – Wards List	4 Sep 2024	25 Sep 2024	16 days	Template-based — 16 data fields per ward row across 3 worksheets + accompanying witness statement addressing 5 narrative matters	10 page draft statement submitted 25 Sep 2024	
R9(6a) – Wards and Services List	19 Dec 2024	30 Jan 2025	26 days	Comprehensive summary of all the mental health services that EPUT and its predecessor trusts provided over the Relevant Period comprising 30 requests	Draft statements submitted on 3 February 2025 and 13 Feb 2025	
R9(7) – PFD reports, responses and ROIs	19 Dec 2024	30 Jan 2025	26 days	16 main questions - disclose all Prevention of Future Deaths reports and findings of neglect received during the Relevant Period, together with all responses provided, supporting documentation, and internal action taken. Explain governance processes for handling such reports, how learning was disseminated, and how the effectiveness of any resulting changes was monitored.	37 page draft statement submitted on 10 Feb 2025 with 306 exhibits	
R9(8) – Ligature Incident Data	9 Jan 2025	11 Feb 2025	23 days	Quantitative ligature incident data — deaths, near misses, and serious incidents — per facility per year across the relevant period, covering both internal and external investigations. It also asks EPUT to address lessons learned, audit and risk assessment processes, staff	30 page statement dated 25 March 2025 with 37 exhibits including Ligature Template	

				training arrangements, and documentation used to monitor ligature risks.		
R9(9) – Staff feedback, complaints and FTSU	14 Jan 2025	14 Feb 2025	23 days	43 main questions and 48 sub questions - processes for gathering staff feedback and satisfaction data, guidance and training provided to staff on raising concerns and complaints, investigation and resolution procedures, records of all complaints and their outcomes, whistleblowing policies and instances, and the operation of the Freedom to Speak Up Guardian scheme throughout the relevant period.	42 page draft statement submitted on 28 Feb 2025 with 64 exhibits 60 page draft statement submitted 9 June 2025 with 76 exhibits (in accordance with extension request made on 24 Jan 2025) 51 page draft statement submitted 4 Nov 2025 with 77 exhibits 61 page statement dated 4 Feb 2026 with 88 exhibits	
R9(10)	20 Jan 2025	17 Feb 2025	20 days	11 main questions and 36 sub questions on pre-admission assessments, assessment types, characteristics, common features, admission pathways, assessment environment, monitoring and compliance, changes over time and current documents.	Draft statement submitted 20 February 2025	

R9(10(a) – Pre admission Assessments	18 Mar 2025	24 Mar 2025	4 days	7 questions 7 sub questions	Final 64 page statement submitted on 25 March 2025 with 42 exhibits	Yes
R9(11) – Inpatient Pathway	20 Jan 2025	17 Feb 2025	20 days	46 questions with the requirement to set out for every single question, a description of the systems for voluntary and involuntary inpatients, the differences between specialities, bed type and unit the changes in systems across the entire relevant period and an explanation of gaps with reasons for missing information.	Draft submitted 20 February 2025 with 235 exhibits	Yes
R9(11)(a) – Inpatient Pathway request for clarification – Annex A	19 Mar 2025	26 Mar 2026	4 days	26 questions relating to existing content	Final statement submitted 26 March 2025 with 20 additional documents (255)	Yes
Annex B	19 Mar 2025	23 Apr 2025	24 days	9 longer questions requiring further information and clarification	Final submitted 23 April 2025 with 323 additional documents submitted on 25 April 2025	
R9(25) — Trust Policies and Documentation	18 Aug 2025	15 Sep 2025	19 days	12 main questions requiring identification of all formal documents across 15 topic areas spanning the 24-year Relevant Period, including predecessor trusts NEP/SEPT. Q12 addresses specific sub-	Final statement sent 2 December 2025 with 19 documents	

				topics including capacity assessments, risk assessment, and referrals to regulators.		
R9(26) — Evaluation and Monitoring of Care Delivery	18 Aug 2025	15 Sep 2025	19 days	29 sub-topics addressing monitoring and evaluation of care delivery across the 24-year Relevant Period, including predecessor trusts. Cross-referencing with all prior R9 requests required.	Statement submitted 17 December 2026 with 189 documents	
R9(27) — Risk Assessment and Risk Management	18 Aug 2025	15 Sep 2025	19 days	8 main questions with approximately 40 sub-parts covering risk assessment and management across the Relevant Period, including predecessor trusts. Must cross-reference R9(10) and R9(11). Covers risk assessment tools, documentation storage, staff compliance monitoring, and therapeutic risk balance.	Draft statement submitted on 9 January 2026, with Final statement submitted on 13 January 2026 with 160 documents indicated	
R9(35) — Materials List: 25 Individual Cases	4 Sep 2025	9 Oct 2025	25 days	Materials list for 25 named individuals (deaths spanning 2009–2023) across six categories per case, including internal investigations, inquest materials, regulator submissions, and complaint records. Cross-referencing with existing disclosure required. Explanatory correspondence needed where material cannot be located. No witness statement required.	List as specified sent 20 October 2025	

R9(45) — Materials List: 92 Individual Cases	8 Oct 2025	5 Nov 2025	20 days	Materials list for 92 named individuals (deaths spanning 2000–2023) across six categories per case. Cross-referencing with existing disclosure required. Intended to cover the majority of outstanding case list requests. Cases from 2000–2006 involve predecessor trust records with limited or non-digitised archives.	Final statement sent 2 February 2026 with List of Materials	Yes
R9(114) — Oxevision	22 Jan 2026	5 Mar 2026	30 days	74 questions with approximately 100+ individual points covering Oxevision, including consent, capacity, training, data protection analysis, video footage extraction, and audit records. Input required from multiple senior witnesses including a senior clinician. Multiple document categories specified, including SOPs, board papers, fault logs, and DPIAs.	Draft statement sent on 31 March 2026 with final sent on 22 May 2026 with 147 documents	
R9(115) — Physical Health Monitoring	22 Jan 2026	5 Mar 2026	30 days	28 questions addressing physical health monitoring systems, NEWS2 assessments, Oxevision vital signs, training, and escalation protocols. Requires a senior medically qualified clinician with operational expertise. The request explicitly requires EPUT to address known limitations of Oxevision vital signs data.	Draft statement sent on 31 March 2026, with Final statement sent on 14 May 2026 exhibiting 158 documents	

R9(26)(a) — Clinical Governance Follow-up	5 Mar 2026	16 Apr 2026	28 days	28 main questions with extensive sub-parts requiring full organograms with named individuals and tenure dates over 24 years, committee terms of reference and minutes, risk registers at all levels, commissioning data, and out-of-area placement data across the Relevant Period. Comparable structures required for predecessor trusts NEP/SEPT.	Final 131 page statement submitted on 21 May 2026 with 311 documents	
R9(145) – Sexual Safety	10 Mar 2026	17 April 2026	25 days	26 main questions with over 100 sub-parts covering sexual safety policies and procedures from 2000–2023. Requires version histories for 22+ policy documents, separate detailed responses for adult and child inpatients, training records, investigation summaries, and engagement with six national guidance documents.	Final statement 201 pages submitted 15 May 2026 with 19 exhibits and 134 documents addressed in Annex B	
R9(146) — Safeguarding	13 Mar 2026	24 Apr 2026	28 days	67 questions with approximately 150+ individual points covering adult and child safeguarding across the 24-year Relevant Period. Includes s.75 agreement analysis with three local authorities, personnel histories from 1993, annual data tables for each year, and nine named case-specific responses requiring individual file reviews.		

R9 (149) – Resuscitation Part A	23 April 2026	21 May 2026	20 days	10 queries with 39 sub-queries covering two broad topics, policies and procedures and training on resuscitation, national guidance departures (if any), local unit or ward procedures, covid-19 policy changes, Q&E or paramedic agreements, training details, local training adaptations and patient group differences	Statement submitted 21 May 2026 with 162 documents to include the statement	
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